

SURFACE VEHICLE RECOMMENDED PRACTICE

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(R) Potential Failure Mode and Effects Analysis in Design (Design FMEA), Potential Failure Mode and Effects Analysis in Manufacturing and Assembly Processes (Process FMEA), and Potential Failure Mode and Effects Analysis for Machinery (Machinery FMEA)

1. Scope—General Information

1.1 Overview—This SAE Recommended Practice was jointly developed by DaimlerChrysler, Ford, and General Motors.

This document introduces the topic of potential Failure Mode and Effects Analysis (FMEA) and gives general guidance in the application of the technique.

All FMEA's focus on the design, whether it be of the product, the process or the machinery used to build the product. An Applications Section (see Section 5) has been added to provide information on applying the FMEA technique to plant machinery and equipment using the Machinery FMEA (MFMEA).

1.2 Recommended Practice Format—For ease of use, this reference document presents the two basic types of FMEA (Design FMEA and Process FMEA) in their own separate sections. This document also contains an Applications Section (Section 5) which discusses in some detail how an FMEA is applied to Plant Machinery and Equipment (Machinery FMEA).

The Machinery FMEA (MFMEA) information has been provided due to the importance of Plant Machinery and Equipment functioning as intended in manufacturing and assembly plants. The use of the MFMEA, on Plant Machinery and Equipment, will assist with the identification of potential failure modes, so that design and processing alternatives can be considered, prior to finalizing the Plant Machinery and Equipment Designs.

It should be noted that this document is a recommended practice, and as such, each Team is free to use the guidelines listed herein in the manner which will be most effective for a given situation. Section 5.2.17.1 contains a table of "Suggested Detection Evaluation Criteria" for MFMEA which has rankings of 10, 7, 5, 3, and 1 (does not contain rankings of 9, 8, 6, 4, and 2). This Applications Section Detection Table illustrates that the Team can make modifications to the standard format of 10-1 rankings, if this will be most effective for the Team's situation and application.

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Figure 1 illustrates an approximate time line where the Design FMEA (DFMEA) for the product is started somewhat before the Process FMEA (PFMEA) for producing the product. Figure 1 shows that the Machinery FMEA (MFMEA) should be started at about the same time as the PFMEA. The "OEM Product Development Time Line" refers to the "Original Equipment Manufacturer's Time Line" which is used for the design, development, and production of the product. In some situations, all three FMEAs (i.e., DFMEA, PFMEA, and MFMEA) might be started at the same time. In general, the earlier that FMEAs are started, the better the chances of optimizing the various activities/designs/processes in a cost and time effective manner.

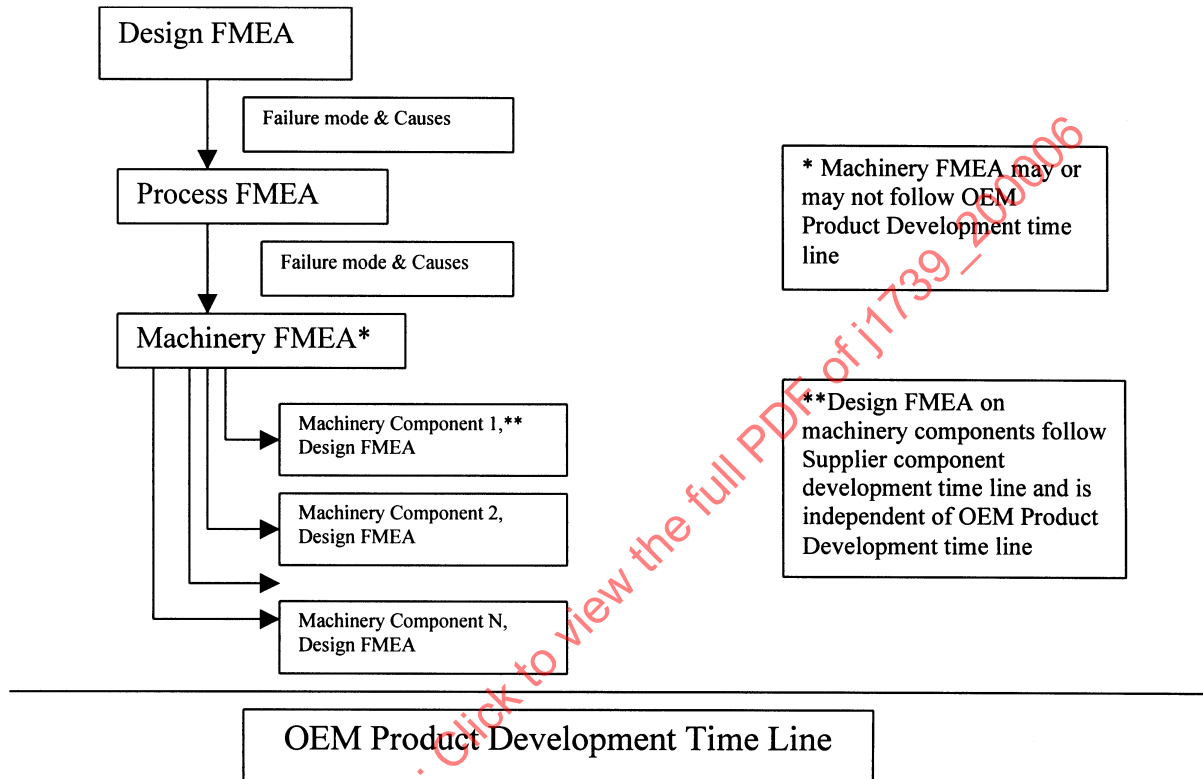


FIGURE 1—OEM PRODUCT DEVELOPMENT TIME LINE

1.3 What is an FMEA?—An FMEA can be described as a systemized group of activities intended to: (a) recognize and evaluate the potential failure of a product/process and its effects, (b) identify actions which could eliminate or reduce the chance of the potential failure occurring, and (c) document the process. It is complimentary to the process of defining what a design or process must do to satisfy the customer.

1.4 FMEA Implementation—Because of the general industry trend to continually improve products and processes whenever possible, the need for using the FMEA as a disciplined technique to identify and help minimize potential concern is as important as ever. Studies of vehicle campaigns have shown that fully implemented FMEA programs could have prevented many of the campaigns.

One of the most important factors for the successful implementation of an FMEA program is timeliness. It is meant to be a "before-the-event" action, not an "after-the-fact" exercise. To achieve the greatest value, the FMEA must be done before a product or process failure mode has been incorporated into a product or process. Up front time spent properly completing an FMEA, when product/process changes can be most easily and inexpensively implemented, will minimize late change crises. An FMEA can reduce or eliminate the chance of implementing a preventive/corrective change which would create an even larger concern. Communication and coordination should occur between all types of FMEAs (i.e., Design - DFMEA, Process - PFMEA, and Machinery - MFMEA). (See Figure 1).

**POTENTIAL
FAILURE MODE AND EFFECTS ANALYSIS**

FMEA Number _____
 Page _____ of _____
 Prepared By _____
 FMEA Date (Orig.) _____ (Rev.) _____
 Design Responsibility _____
 Key Date _____
 Model Year(s)/Program(s) _____
 Core Team _____

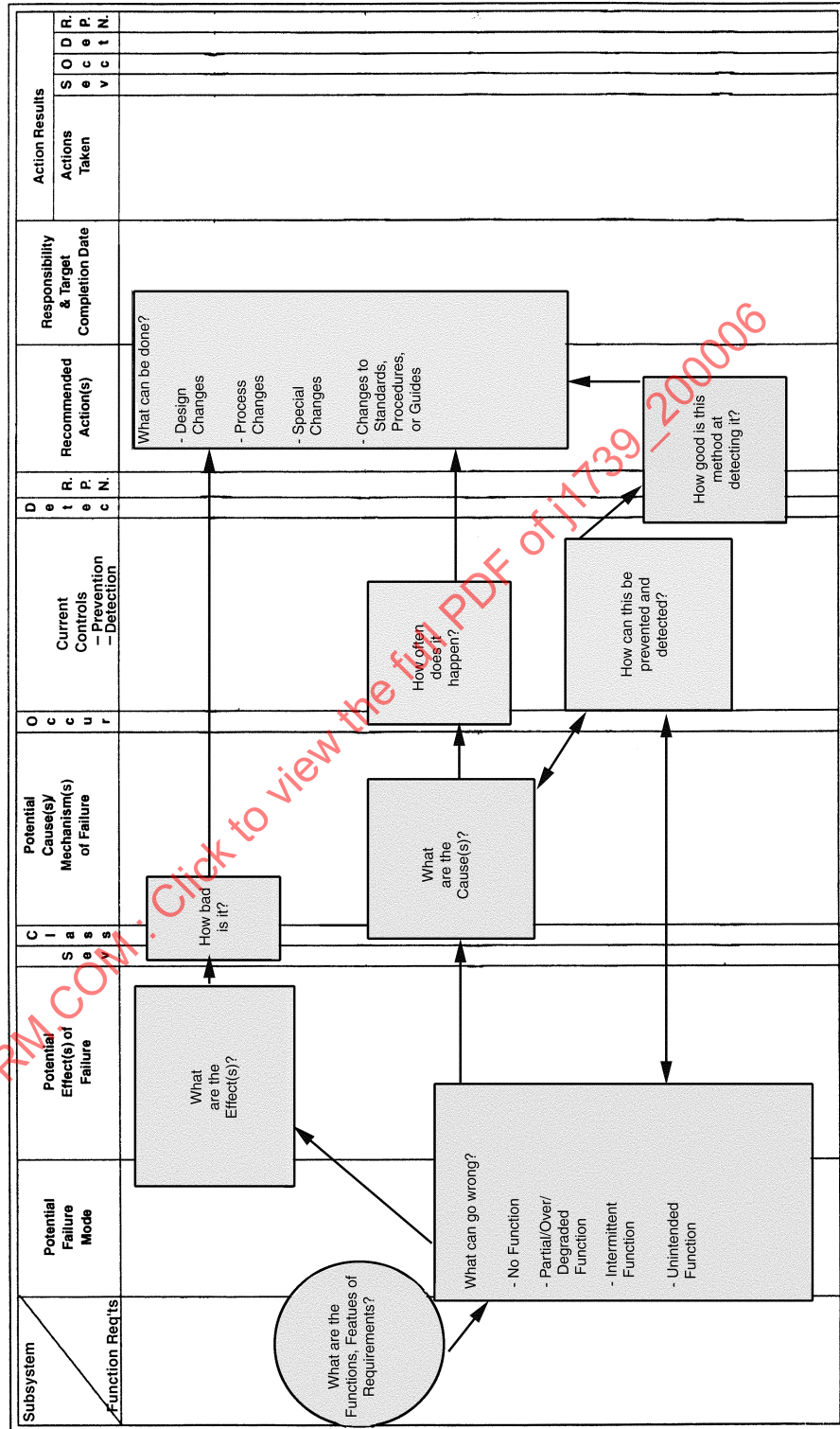


FIGURE 2—FMEA PROCESS SEQUENCE

Figure 2 depicts the sequence in which an FMEA should be performed. It is not simply a case of filling out the column, but rather understanding the process and how to eliminate risk and plan the appropriate controls to protect customer satisfaction.

There are three basic cases for which FMEA's are generated, each with a different scope or focus:

Case 1: New designs, new technology, or new process.

The scope of the FMEA is the complete design, technology, or process.

Case 2: Modifications to existing design or process (assumes there is a FMEA for the existing design or process).

The scope of the FMEA should focus on the modification to design or process, possible interactions due to the modification, and field history.

Case 3: Use of existing design or process in a new environment, location, or application (assumes there is an FMEA for the existing design or process). The scope of the FMEA is the impact of the new environment or location on the existing design or process.

Although responsibility for the preparation of the FMEA is usually assigned to an individual, FMEA input should be a team effort. A team of knowledgeable individuals should be assembled (e.g., engineers with expertise in Design, Analysis/Testing, Manufacturing, Assembly, Service, Recycling, Quality, and Reliability). The FMEA is initiated by the engineer from the responsible activity, which can be the Original Equipment Manufacturer (i.e., produces the final product) supplier, or a subcontractor.

It is not appropriate to compare the ratings of one team's FMEA with the ratings of another team's FMEA, even if the product/process appear to be identical, since each team environment is unique and thus their respective individual ratings will be unique (i.e., the ratings are subjective).

Review of the FMEA document against FMEA quality objectives (see Appendix A and Appendix B) is recommended including a management review.

1.5 Follow-Up—The need for taking specific, preventive/corrective actions with quantifiable benefits, recommending actions to other activities and following-up all recommendations cannot be overemphasized. A thoroughly thought out and well developed FMEA will be of limited value without positive and effective preventive/corrective actions.

The responsible engineer is in charge of assuring that all recommended actions have been implemented or adequately addressed. The FMEA is a living document and should always reflect the latest level, as well as the latest relevant actions, including those occurring after the start of production.

The responsible engineer has several means of assuring that recommended actions are implemented. They include, but are not limited to the following:

- a. Assuring design/process/machine requirements are achieved
- b. Review of engineering drawings, processes, and specifications
- c. Confirmation of incorporation of changes to design/assembly/manufacturing documentation
- d. Review of Design/Process FMEAs, special FMEA applications such as Machinery FMEA and Control Plans

2. References—There are no referenced publications specified herein.

FAILURE MODE AND EFFECTS ANALYSIS IN DESIGN
(DESIGN FMEA)

SAENG

System _____
Subsystem _____
Component _____
Model Year(s)/Program(s) _____
Core Team _____

FMEA Number _____
Page _____ of _____
Prepared By _____
FMEA Date (Orig.) _____ (Rev.) _____

Design Responsibility _____
Key Date _____

[illegible]

-5-

3. **Potential Failure Mode and Effects Analysis in Design (Design FMEA)**

3.1 Introduction—A Design potential FMEA is an analytical technique utilized primarily by a Design Responsible Engineer/Team as a means to assure that, to the extent possible, potential failure modes and their associated causes/mechanisms have been considered and addressed. End items, along with every related system, subassembly, and component, should be evaluated. In its most rigorous form, an FMEA is a summary of the team's thoughts (including an analysis of items that could go wrong based on experience) as a component, subsystem, or system is designed. This systematic approach parallels, formalizes, and documents the mental disciplines that an engineer normally goes through in any design process.

The Design potential FMEA supports the design process in reducing the risk of failures (including unintended outcomes) by:

- a. Aiding in the objective evaluation of design, including functional requirements and design alternatives.
- b. Evaluating the initial design for manufacturing, assembly, service, and recycling requirements.
- c. Increasing the probability that potential failure modes and their effects on system and vehicle operation have been considered in the design/development process.
- d. Providing additional information to aid in the planning of thorough and efficient design, development, and validation programs.
- e. Developing a ranked list of potential failure modes according to their effect on the "customer," thus establishing a priority system for design improvements, development, and validation testing/analysis.
- f. Providing an open issue format for recommending and tracking risk reducing actions.
- g. Providing future reference, e.g., lessons learned, to aid in analyzing field concerns, evaluating design changes, and developing advanced designs.

3.1.1 CUSTOMER DEFINED—The definition of "CUSTOMER" for a Design potential FMEA is not only the "END USER," but also the design responsible engineers/teams of the vehicle or higher level assemblies, and/or the manufacturing process responsible engineers in activities such as Manufacturing, Assembly, and Service.

3.1.2 TEAM EFFORT—During the initial Design potential FMEA process, the responsible engineer is expected to directly and actively involve representatives from all affected areas. These areas of expertise and responsibility should include, but are not limited to: assembly, manufacturing, design, analysis/test, reliability, materials, quality, service, and suppliers, as well as the design area responsible for the next higher or lower assembly or system, sub-assembly or component. The FMEA should be a catalyst to stimulate the interchange of ideas between the functions affected and thus promote a team approach.

Unless the responsible engineer is experienced with FMEA and team facilitation, it is helpful to have an experienced FMEA Facilitator assist the team in its activities.

The Design FMEA is a living document and should:

- a. Be initiated before or at design concept finalization,
- b. Be continually updated as changes occur or additional information is obtained throughout the phases of product development,
- c. And be fundamentally completed before the production drawings are released for tooling.

Considering that manufacturing/assembly needs have been incorporated, the Design FMEA addresses the design intent and assumes the design will be manufactured/assembled to this intent. Potential failure modes and/or causes/mechanisms which can occur during the manufacturing or assembly process need not, but may be included in a Design FMEA. When not included, their identification, effect, and control are covered by the Process FMEA.

The Design FMEA does not rely on process controls to overcome potential design weaknesses, but it does take the technical/physical limits of a manufacturing/assembly process into consideration, e.g.:

- a. Necessary mold drafts
- b. Limited surface finish
- c. Assembling space/access for tooling
- d. Limited hardenability of steels
- e. Tolerances/process capability/performance

The Design FMEA can also take into consideration the technical/physical limits of product maintenance (service) and recycling, e.g.:

- a. Tool access
- b. Diagnostic capability
- c. Material classification symbols (for recycling)

3.2 Development of a Design FMEA—The design responsible engineer has at their disposal a number of documents that will be useful in preparing the Design FMEA. The process begins by developing a listing of what the design is expected to do, and what it is expected not to do, i.e., the design intent. Customer wants and needs, as may be determined from sources such as Quality Function Deployment (QFD), Vehicle Requirements Documents, known product requirements and/or manufacturing/assembly/service/recycling requirements should be incorporated. The better the definition of the desired characteristics, the easier it is to identify potential failure modes for preventive/corrective action.

A Design FMEA can begin with a block diagram, for the system, subsystem, and/or component being analyzed.

An example block diagram is shown in Appendix C. The block diagram can also indicate the flow of information, energy, force, fluid, etc. The objective is to understand the deliverables (input) to the block, the process (function) performed in the block, and the deliverables (output) from the block.

The diagram illustrates the primary relationship between the items covered in the analysis and establishes a logical order to the analysis. Copies of the diagrams used in FMEA preparation should accompany the FMEA.

In order to facilitate documentation of the analysis of potential failures and their consequences, a blank form is available in Appendix D.

An example of a completed form is contained in Appendix E, including numbered headings (1) – (22) for ease of reference to the following descriptions.

- 3.2.1 (1) FMEA NUMBER—Enter the FMEA document number, which may be used for tracking. (See Figure 3.)
- 3.2.2 (2) SYSTEM, SUBSYSTEM, OR COMPONENT NAME AND NUMBER—Indicate the appropriate level of analysis and enter the name and number of the system, subsystem, or component being analyzed. The FMEA team must decide on what constitutes a system, subsystem, or component for their specific activities. The actual boundaries that divide a System, Subsystem, and Component are arbitrary and must be set by the FMEA team. Some descriptions are provided below and some examples are provided in Appendix F (See Figure 3.)
 - 3.2.2.1 *System FMEA Scope*—A system can be considered to be made up of various subsystems. These subsystems often have been designed by different teams. Some typical System FMEAs might cover the following systems: Chassis System, or Powertrain System, or Interior System, etc. Thus, the focus of the System FMEA is to ensure that all interfaces and interactions between the various subsystems that make up the system as well as interfaces to other vehicle systems and the customer are covered.

- 3.2.2.2 *Subsystem FMEA Scope*—A subsystem FMEA is generally a sub-set of a larger system. For example, the front suspension subsystem is a sub-set of the chassis system. Thus, the focus of the Subsystem FMEA is to ensure that all interfaces and interactions between the various components that make up the subsystem are covered in the Subsystem FMEA.
- 3.2.2.3 *Component FMEA Scope*—A component FMEA is a generally an FMEA focused on the sub-set of a subsystem. For example, a strut is a component of the front suspension (which is a subsystem of the chassis system.)
- 3.2.3 (3) DESIGN RESPONSIBILITY—Enter the OEM, department, and group. Also include the supplier name if applicable. (See Figure 3.)
- 3.2.4 (4) PREPARED BY—Enter the name, telephone number, and company of the engineer responsible for preparing the FMEA. (See Figure 3.)
- 3.2.5 (5) MODEL YEAR(S)/PROGRAM(S)—Enter the intended model year(s) and program(s) that will utilize and/or be affected by the design being analyzed (if known). (See Figure 3.)
- 3.2.6 (6) KEY DATE—Enter the initial FMEA due date, which should not exceed the scheduled production design release date. (See Figure 3.)
- 3.2.7 (7) FMEA DATE—Enter the date the original FMEA was compiled, and the latest revision date. (See Figure 3.)
- 3.2.8 (8) CORE TEAM—List the names of the responsible individuals and departments which have the authority to identify and/or perform tasks. (It is recommended that all team members names, departments, telephone numbers, addresses, etc., be included on a distribution list). (See Figure 3.)
- 3.2.9 (9) ITEM/FUNCTION—Enter the name and other pertinent information (e.g., the number, the part class, etc.) of the item being analyzed. Use the nomenclature and show the design level as indicated on the engineering drawing. Prior to initial release (e.g., in the conceptual phases), experimental numbers should be used. (See Figure 3.)

Enter, as concisely as possible, the function of the item being analyzed to meet the design intent. Include information (metrics/measurables) regarding the environment in which this system operates (e.g., define temperature, pressure, humidity ranges, design life). If the item has more than one function with different potential modes of failure, list all the functions separately.

- 3.2.10 (10) POTENTIAL FAILURE MODE—Potential Failure Mode is defined as the manner in which a component, subsystem, or system could potentially fail to meet or deliver the intended function described in the item/function column (i.e., intended function fails). The potential failure mode may also be the cause of a potential failure mode in a higher level subsystem, or system, or be the effect of one in a lower level component. (See Figure 3.)

List each potential failure mode associated with the particular item and item function. The assumption is made that the failure could occur, but may not necessarily occur. A recommended starting point is a review of past things-gone-wrong, concerns, reports, and group brainstorming.

Potential failure modes that could only occur under certain operating conditions (i.e., hot, cold, dry, dusty, etc.) and under certain usage conditions (i.e., above average mileage, rough terrain, only city driving, etc.) should be considered.

Typical failure modes could be, but are not limited to:

cracked	deformed	loosened
leaking	sticking	oxidized
fractured	does not transmit torque	slips (does not hold full torque)
no support (structural)	inadequate support (structural)	harsh engagement
disengages too fast	inadequate signal	intermittent signal
no signal	EMC/RFI	drift

NOTE—Potential failure modes should be described in “physical” or technical terms, not as a symptom necessarily noticeable by the customer.

- 3.2.11 (11) **POTENTIAL EFFECT(S) OF FAILURE**—Potential Effects of Failure are defined as the effects of the failure mode on the function, as perceived by the customer. (See Figure 3 and 3.1.1)

Describe the effects of the failure in terms of what the customer might notice or experience, remembering that the customer may be an internal customer as well as the ultimate end user. State clearly if the function could impact safety or non-compliance to regulations. The effects should always be stated in terms of the specific system, subsystem, or component being analyzed. Remember that a hierarchical relationship exists between the component, subsystem, and system levels. For example, a part could fracture, which may cause the assembly to vibrate, resulting in an intermittent system operation. The intermittent system operation could cause performance to degrade, and ultimately lead to customer dissatisfaction. The intent is to forecast the failure effects, to the team's level of knowledge.

Typical failure effects could be, but are not limited to:

Noise	Rough	Leaks
Erratic Operation	Inoperative	Regulatory Non-Compliance
Poor Appearance	Unpleasant Odor	
Unstable	Operation Impaired	
Intermittent Operation	Thermal Event	

- 3.2.12 (12) **SEVERITY (S)**—Severity is the rank associated with the most serious effect from the previous column. Severity is a relative ranking, within the scope of the individual FMEA. A reduction in Severity Ranking index can be effected only through a design change. Severity should be estimated using the following table as a guideline:

- 3.2.12.1 *Suggested Evaluation Criteria*—The team should agree on an evaluation criteria and ranking system, which is consistent, even if modified for individual product analysis. (See Table 1.)

NOTE 1—It is not recommended to modify criteria ranking values of 9 and 10. Failure Modes with rank Severity 1, should not be analyzed further.

NOTE 2—High Severity Rankings can sometimes be reduced by making design revisions that compensate or mitigate the resultant severity of failure. For example, “run flat tires” can mitigate the severity of a sudden tire blowout and “seat belts” can mitigate the severity of a vehicle crash.

TABLE 1—SUGGESTED DFMEA SEVERITY EVALUATION CRITERIA

Effect	Criteria: Severity of Effect	Ranking
Hazardous without warning	Very high severity ranking when a potential failure mode affects safe vehicle operation and/or involves noncompliance with government regulation without warning.	10
Hazardous with warning	Very high severity ranking when a potential failure mode affects safe vehicle operation and/or involves noncompliance with government regulation with warning.	9
Very High	Vehicle/item inoperable (loss of primary function).	8
High	Vehicle/Item operable but at a reduced level of performance. Customer very dissatisfied.	7
Moderate	Vehicle/Item operable but Comfort/Convenience item(s) inoperable. Customer dissatisfied.	6
Low	Vehicle/Item operable but Comfort/Convenience item(s) operable at a reduced level of performance. Customer somewhat dissatisfied.	5
Very Low	Fit and finish/Squeak and rattle item does not conform. Defect noticed by most customers (greater than 75%).	4
Minor	Fit and finish/Squeak and rattle item does not conform. Defect noticed by 50% of customers.	3
Very Minor	Fit and finish/Squeak and rattle item does not conform. Defect noticed by discriminating customers (less than 25%).	2
None	No discernible effect.	1

- 3.2.13 (13) CLASSIFICATION—This column may be used to classify any special product characteristics (e.g., critical, key, major, significant) for components, subsystems, or systems that may require additional design or process controls. (See Figure 3.)

This column may also be used to highlight high priority failure modes for engineering assessment, if the Team finds this helpful, or if local management requires same.

Special Product or Process Characteristic symbols and their usage is directed by specific company policy and is not standardized in this document.

- 3.2.14 (14) POTENTIAL CAUSE(S)/MECHANISM(S) OF FAILURE—Potential Cause of Failure is defined as an indication of a design weakness, the consequence of which is the failure mode. (See Figure 3.)

List, to the extent possible, every potential cause and/or failure mechanism for each failure mode. The cause/mechanism should be listed as concisely and completely as possible so that remedial efforts can be aimed at pertinent causes.

Typical failure causes may include, but are not limited to:

Incorrect Material Specified	Inadequate Design Life Assumption
Over-stressing	Insufficient Lubrication Capability
Inadequate Maintenance Instructions	Incorrect Algorithm
Improper Maintenance Instructions	Improper Software Specification
Improper Surface Finish Specification	Inadequate Travel Specification
Improper Friction Material Specified	Excessive Heat
Improper Tolerance Specified	

Typical failure mechanisms may include, but are not limited to:

Yield	Chemical Oxidation
Fatigue	Electromigration
Material Instability	
Creep	
Wear	
Corrosion	

- 3.2.15 OCCURRENCE (O)—Occurrence is the likelihood that a specific cause/mechanism (listed in the previous column) will occur during the design life. The likelihood of occurrence ranking number has a relative meaning rather than an absolute value. Preventing or controlling the causes/mechanisms of the failure mode through a design change or design process change (e.g., design checklist, design review, design guide) is the only way a reduction in the occurrence ranking can be effected. (See Figure 3.)

Estimate the likelihood of occurrence of potential failure cause/mechanism on a “1” to “10” scale. In determining this estimate, questions such as the following should be considered:

- What is the service history/field experience with similar components, subsystems, or systems?
- Is component carryover or similar to a previous level component, subsystem, or system?
- How significant are changes from a previous level component, subsystem, or system?
- Is component radically different from a previous level component?
- Is component completely new?
- Has the component application changed?
- What are the environmental changes?
- Has an engineering analysis (e.g., reliability) been used to estimate the expected comparable occurrence rate for the application?
- Have preventive controls been put in place?

A consistent occurrence ranking system should be used to ensure continuity. The occurrence ranking number is a relative rating within the scope of the FMEA and may not reflect the actual likelihood of occurrence.

- 3.2.15.1 *Suggested Evaluation Criteria*—The team should agree on an evaluation criteria and ranking system, which is consistent, even if modified for individual product analysis. (See Table 2.) Occurrence should be estimated using the Table 2 as a guideline:

NOTE—The ranking value of 1 is reserved for “Remote: Failure Is unlikely”.

TABLE 2—SUGGESTED DFMEA OCCURRENCE EVALUATION CRITERIA

Probability of Failure	Likely Failure Rates Over Design Life	Ranking
Very High: Persistent failures	≥100 per thousand vehicles/items	10
	50 per thousand vehicles/items	9
High: Frequent failures	20 per thousand vehicles/items	8
	10 per thousand vehicles/items	7
Moderate: Occasional failures	5 per thousand vehicles/items	6
	2 per thousand vehicles/items	5
	1 per thousand vehicles/items	4
Low: Relatively few failures	0.5 per thousand vehicles/items	3
	0.1 per thousand vehicles/items	2
Remote: Failure is unlikely	≤ 0.01 per thousand vehicles/items	1

3.2.16 (16) **CURRENT DESIGN CONTROLS**—List the prevention, design validation/verification (DV), or other activities which are completed or committed to and that will assure the design adequacy for the failure mode and/or cause/mechanism under consideration. Current controls (e.g., road testing, design reviews, fail/safe designs such as a pressure relief valve, mathematical studies, rig/lab testing, feasibility review, prototype tests, fleet testing) are those that have been or are being used with the same or similar designs. (See Figure 3.) The Team should always be focused on improving design controls, for example, the creation of new system tests in the lab, or the creation of new system modeling algorithms, etc.

There are two types of Design Controls/features to consider:

- Type 1. Prevention: Prevent the cause/mechanism or failure mode/effect from occurring, or reduce the rate of occurrence,
- Type 2. Detection: Detect the cause/mechanism or failure mode, either by analytical or physical methods, before the item is released to production.

The preferred approach is to first use type (1) controls if possible. The initial occurrence rankings will be affected by the type (1) controls provided they are integrated as part of the design intent. The initial detection rankings will be based on the type (2) current controls, assuming the prototypes and models being used are representative of design intent.

When entering the design controls, place a 'P' before the Prevention Type Controls and place a 'D' before the Detection Type Controls. The Occurrence Ranking may need to be revised after the identification of Type (1) Prevention Controls.

3.2.17 (17) **DETECTION (D)**—Detection is the rank associated with the best type (2) design control from the list in the previous column. Detection is a relative ranking, within the scope of the individual FMEA. In order to achieve a lower ranking, generally the planned design control (e.g., validation, and/or verification activities) has to be improved.

3.2.17.1 *Suggested Evaluation Criteria*—The team should agree on an evaluation criteria and ranking system, which is consistent, even if modified for individual product analysis. (See Table 3.)

TABLE 3—SUGGESTED DETECTION EVALUATION CRITERIA

Detection	Criteria: Likelihood of Detection by Design Control	Ranking
Absolute Uncertainty	Design Control will not and/or can not detect a potential cause/mechanism and subsequent failure mode; or there is no Design Control	10
Very Remote	Very remote chance the Design Control will detect a potential cause/mechanism and subsequent failure mode	9
Remote	Remote chance the Design Control will detect a potential cause/mechanism and subsequent failure mode	8
Very Low	Very Low chance the Design Control will detect a potential cause/mechanism and subsequent failure mode	7
Low	Low chance the Design Control will detect a potential cause/mechanism and subsequent failure mode.	6
Moderate	Moderate chance the Design Control will detect a potential cause/mechanism and subsequent failure mode.	5
Moderately High	Moderately High chance the Design Control will detect a potential cause/mechanism and subsequent failure mode.	4
High	High chance the Design Control will detect a potential cause/mechanism and subsequent failure mode.	3
Very High	Very High chance the Design Control will detect a potential cause/mechanism and subsequent failure mode.	2
Almost Certain	Design Control will almost certainly detect a potential cause/mechanism and subsequent failure mode	1

3.2.17.2 It is best to have type two design controls in place as early as possible in the design development process.

NOTE—After making the Detection Ranking, the Team should review the Occurrence Ranking and ensure that the Occurrence Ranking is still appropriate

Detection should be estimated using Table 3 as a guideline:

NOTE—The ranking value of 1 is reserved for “almost certain.”

3.2.18 (18) RISK PRIORITY NUMBER (RPN)—The Risk Priority Number is the product of the Severity (S), Occurrence (O), and Detection (D) ranking. (See Figure 3.)

$$RPN = (S) \times (O) \times (D) \quad (\text{Eq. 1})$$

Within the scope of the individual FMEA, this value (between “1” and “1000”) can be used to rank order the concerns in the design (e.g., in Pareto fashion).

3.2.19 (19) RECOMMENDED ACTION(S)—Engineering assessment for preventive/corrective action should be first directed at high severity, high RPN, and other items designated by the team. The intent of any recommended action is to reduce rankings, in the following preference order: severity, occurrence, and detection rankings. (See Figure 3.)

In general practice when the severity is a "9" or "10", special attention must be given to assure that the risk is addressed through existing design controls or preventative or corrective action(s), regardless of the RPN. In all cases where the effect of an identified potential failure mode could be a hazard to the end-user, preventive/corrective actions should be considered to avoid the failure mode by eliminating, mitigating, or controlling the cause(s).

After special attention has been given to Severity(s) of 9 or 10, the team then addresses other Failure Modes, with the intent of reducing Severity, then Occurrence, and then Detection.

Actions such as, but are not limited to, the following should be considered:

- a. Revised Design Geometry and/or tolerances
- b. Revised Material Specification
- c. Design of experiments (particularly when multiple or interactive causes are present)/or other problem solving techniques.
- d. Revised Test Plan

The primary objective of recommended actions is to reduce risks and increase customer satisfaction by improving the design.

Only a design revision can bring about a reduction in the severity ranking. A reduction in the occurrence ranking can be effected only by removing or controlling one or more of the causes/mechanisms of the failure mode through a design revision. An increase in design validation/verification actions will result in a reduction in the detection ranking only. Increasing the design validation/verification actions is a less desirable engineering action since it does not address the severity or occurrence of the failure mode.

If engineering assessment leads to no recommended actions for a specific failure mode/cause/control combination, indicate this by entering a "NONE" in this column.

- 3.2.20 (20) RESPONSIBILITY (FOR THE RECOMMENDED ACTION)—Enter the individual responsible for the recommended action and the target completion date. (See Figure 3.)
- 3.2.21 (21) ACTIONS TAKEN—After an action has been implemented, enter a brief description of the actual action and effective date. (See Figure 3.)
- 3.2.22 (22) REVISED RATINGS—After the preventive/corrective action has been identified, estimate and record the resulting severity, occurrence, and detection rankings. Calculate and record the resulting RPN. If no actions are taken, leave the related ranking columns blank. (See Figure 3.)

All revised ratings should be reviewed, and if further action is considered necessary, repeat 3.2.19 through 3.2.22.

FMEA Number

Page _____ of _____

Prepared By

FMEA Date (Orig.) _____ **(Rev.)** _____

Process Responsibility

Key Date

Item

Model Year(s)/Program(s)_____

Core Team

[illegible]

-15-

4. **Potential Failure Mode and Effects Analysis in Manufacturing and Assembly Processes (Process FMEA)**

4.1 Introduction—A Process potential FMEA is an analytical technique utilized by a Manufacturing/Assembly Responsible Engineer/Team as a means to assure that, to the extent possible, potential failure modes and their associated causes/mechanisms have been considered and addressed. In its most rigorous form, an FMEA is a summary of the engineer's/team's thoughts (including an analysis of items that could go wrong based on experience) as a process is developed. This systematic approach parallels and formalizes the mental discipline that an engineer normally goes through in any manufacturing planning process.

The Process potential FMEA:

- a. Identifies the process functions and requirements.
- b. Identifies potential product and process related failure modes.
- c. Assesses the potential customer effects of the failures.
- d. Identifies the potential manufacturing or assembly process causes and identifies process variables on which to focus controls for occurrence reduction or detection of the failure conditions.
- e. Identifies process variables on which to focus process controls.
- f. Develops a ranked list of potential failure modes, thus establishing a priority system for preventive/corrective action considerations.
- g. Documents the results of the manufacturing or assembly process.

4.1.1 CUSTOMER DEFINED—The definition of "CUSTOMER" for a Process potential FMEA should normally be seen as the "END USER." However, customer can also be a subsequent or downstream manufacturing or assembly operation, a service operation, as well as government regulations.

4.1.2 TEAM EFFORT—During the initial Process potential FMEA development, the responsible engineer is expected to directly and actively involve representatives from all affected areas. These areas should include, but are not limited to design, assembly, manufacturing, materials, quality, service, and suppliers, as well as the area responsible for the next assembly. The FMEA should be a catalyst to stimulate the interchange of ideas between the areas affected and thus promote a team approach.

Unless the responsible engineer is experienced with FMEA and team facilitation, it is helpful to have an experienced FMEA Facilitator assist the team in its activities.

The Process FMEA is a living document and should be initiated:

- a. Before or at the feasibility stage,
- b. Prior to tooling for production,
- c. And take into account all manufacturing operations, from individual components to assemblies.

The PFMEA should be addressed according to the 3 cases outlined in 1.4.

Early review and analysis of new or revised processes is promoted to anticipate, resolve, or monitor potential process concerns during the manufacturing planning stages of a new model or component program.

The Process FMEA assumes the product, as designed, will meet the design intent. Potential failure modes which can occur because of a design weakness may be included in a Process FMEA. Their effect and avoidance is covered by the Design FMEA.

The Process FMEA does not rely on product design changes to overcome weaknesses in the process, but does take into consideration a product's design characteristics relative to the planned manufacturing or assembly process to assure that, to the extent possible, the resultant product meets customer needs and expectations.

4.2 Development of a Process FMEA—The process responsible engineer has at his or her disposal a number of documents that will be useful in preparing the Process FMEA. The FMEA begins by developing a listing of what the process is expected to do, and what it is expected not to do, i.e., the process intent.

The Process FMEA can begin with a flow chart of the general process. This flow chart should identify the product/process characteristics associated with each operation. Identification of some product effects from the corresponding Design FMEA, should be included, if available. Copies of the flow chart used in FMEA preparation should accompany the FMEA.

In order to facilitate documentation of the analysis of potential failures and their consequences, a Process FMEA form was developed and is in Appendix G. (See Figure 4.)

An example of a completed form is contained in Appendix H, including numbered headings (1) – (22) for ease of reference to the following descriptions.

- 4.2.1 (1) FMEA NUMBER—Enter the FMEA document number, which may be used for tracking. (See Figure 4.)
- 4.2.2 (2) ITEM—Enter the name and number of the system, subsystem, or component, for which the process is being analyzed. (See Figure 4.)
- 4.2.3 (3) PROCESS RESPONSIBILITY—Enter the OEM, department, and group. Also include the supplier name if known. (See Figure 4.)
- 4.2.4 (4) PREPARED BY—Enter the name, telephone number, and company of the engineer responsible for preparing the FMEA. (See Figure 4.)
- 4.2.5 (5) MODEL YEAR(S)/PROGRAM(S)—Enter the intended model year(s) and program(s) that will utilize and/or be affected by the design/process being analyzed (if known). (See Figure 4.)
- 4.2.6 (6) KEY DATE—Enter the initial FMEA due date, which should not exceed the scheduled start of production date. (See Figure 4.)
- 4.2.7 (7) FMEA DATE—Enter the date the original FMEA was compiled, and the latest revision date. (See Figure 4.)
- 4.2.8 (8) CORE TEAM—List the names of the responsible individuals and departments which have the authority to identify and/or perform tasks. (It is recommended that all team members names, departments, telephone numbers, addresses, etc., be included on a distribution list.) (See Figure 4.)
- 4.2.9 (9) PROCESS FUNCTION/REQUIREMENTS—Enter a simple description of the process or operation being analyzed (e.g., turning, drilling, tapping, welding, assembling). The team should review applicable performance, material, process, environmental, and safety standards. Indicate as concisely as possible the purpose of the process or operation being analyzed, including information about the design (metrics/measurables) describing the system, subsystem, or component. Where the process involves numerous operations (e.g., assembling) with different potential modes of failure, it may be desirable to list the operations as separate elements. (See Figure 4.)
- 4.2.10 (10) POTENTIAL FAILURE MODE—Potential Failure Mode is defined as the manner in which the process could potentially fail to meet the process requirements and/or design intent as described in the process function/requirements column. It is a description of the nonconformance at that specific operation. It can be a cause associated with a potential failure mode in a subsequent (downstream) operation or an effect associated with a potential failure in a previous (upstream) operation. However, in preparation of the FMEA, the assumption may be made that the incoming part(s)/material(s) are correct. Exception can be made by the FMEA team where historical data indicates deficiencies in incoming part quality. (See Figure 4.)

List each potential failure mode for the particular operation in terms of a component, subsystem, system, or process characteristic. The assumption is made that the failure could occur, but may not necessarily occur. The process engineer/team should be able to pose and answer the following questions:

- a. How can the process/part fail to meet specifications?
- b. Regardless of engineering specifications, what would a customer (end user, subsequent operations, or service) consider objectionable?

A comparison of similar processes and a review of customer (end user and subsequent operation) claims relating to similar components is a recommended starting point. In addition a knowledge of the purpose of the design is necessary. Typical failure modes could be, but are not limited to:

Bent	Burred	Hole off-location
Cracked	Hole too shallow	Hole missing
Handling damage	Dirty	Hole too deep
Surface too rough	Deformed	Surface too smooth
Open Circuited	Short Circuited	

- 4.2.11 (11) **POTENTIAL EFFECT(S) OF FAILURE**—Potential Effects of Failure are defined as the effects of the failure mode on the customer(s). (See Figure 4 and 4.1.1.)

Describe the effects of the failure in terms of what the customer might notice or experience, remembering that the customer may be an internal customer as well as the ultimate end user. State clearly if the function could impact safety or noncompliance to regulations. The customer(s) in this context could be the next operation, subsequent operations or locations, the dealer, and/or the vehicle owner. Each must be considered when assessing the potential effect of a failure.

For the End User, the effects should always be stated in terms of product or system performance, such as:

Noise	Rough	Erratic Operation	Excessive Effort
Inoperative	Unpleasant Odor	Unstable	Operation Impaired
Draft	Intermittent Operation	Poor Appearance	Leaks
Vehicle Control Impaired	Rework/Repairs	Customer Dissatisfaction	Scrap

If the customer is the next operation or subsequent operation(s)/location(s), the effects should be stated in terms of process/operation performance, such as:

Can not fasten	Does not fit	Can not bore/tap	Does not connect
Can not mount	Does not match	Can not face	Excessive tool wear
Damages equipment	Endangers operator		

- 4.2.12 (12) **SEVERITY(S)**—Severity is the rank associated with the most serious effect from the previous column. Severity is a relative ranking, within the scope of the individual FMEA. A reduction in Severity Ranking index can be effected through a design change to system, subsystem or component, or a redesign of the process. (See Figure 4.)

If the customer affected by a failure mode is the manufacturing or assembly plant or the product user, assessing the severity may lie outside the immediate process engineer's/team's field of experience or knowledge. In these cases, the design FMEA, design engineer, and/or subsequent manufacturing or assembly plant process engineer, should be consulted.

- 4.2.12.1 **Suggested Evaluation Criteria**—The team should agree on an evaluation criteria and ranking system, which is consistent, even if modified for individual process analysis. (See Table 4.) ,

Severity should be estimated using the Table 4 as a guideline:

NOTE—It is not recommended to modify criteria for ranking values of 9 and 10. Failure Modes with rank Severity 1, should not be analyzed further.

TABLE 4—SUGGESTED PFMEA SEVERITY EVALUATION CRITERIA

Effect	Criteria: Severity of Effect	Criteria: Severity of Effect	Ranking
	This ranking results when a potential failure mode results in a final customer and/or a manufacturing/assembly plant defect. The final customer should always be considered first. If both occur, use the higher of the two severities. (Customer Effect)	This ranking results when a potential failure mode results in a final customer and/or a manufacturing/assembly plant defect. The final customer should always be considered first. If both occur, use the higher of the two severities. (Manufacturing/Assembly Effect)	
Hazardous without warning	Very high severity ranking when a potential failure mode affects safe vehicle operation and/or involves noncompliance with government regulation without warning.	Or may endanger operator (machine or assembly) without warning.	10
Hazardous with warning	Very high severity ranking when a potential failure mode affects safe vehicle operation and/or involves noncompliance with government regulation with warning.	Or may endanger operator (machine or assembly) with warning.	9
Very High	Vehicle/item inoperable (loss of primary function).	Or 100% of product may have to be scrapped, or vehicle/item repaired in repair department with a repair time greater than one hour.	8
High	Vehicle/Item operable but at a reduced level of performance. Customer very dissatisfied.	Or product may have to be sorted and a portion (less than 100%) scrapped, or vehicle/item repaired in repair department with a repair time between half an hour and an hour.	7
Moderate	Vehicle/Item operable but Comfort/Convenience item(s) inoperable. Customer dissatisfied.	Or a portion (less than 100%) of the product may have to be scrapped with no sorting, or vehicle/item repaired in repair department with a repair time less than half an hour.	6
Low	Vehicle/Item operable but Comfort/Convenience item(s) operable at a reduced level of performance. Customer somewhat dissatisfied.	Or 100% of product may have to be reworked, or vehicle/item repaired off-line but does not go to repair department.	5
Very Low	Fit & finish/Squeak and rattle item does not conform. Defect noticed by most customers (greater than 75%).	Or the product may have to be sorted, with no scrap, and a portion (less than 100%) reworked.	4
Minor	Fit & finish/Squeak and rattle item does not conform. Defect noticed by 50% of customers.	Or a portion (less than 100%) of the product may have to be reworked, with no scrap, on-line but out-of-station.	3
Very Minor	Fit & finish/Squeak and rattle item does not conform. Defect noticed by discriminating customers (less than 25%).	Or a portion (less than 100%) of the product may have to be reworked, with no scrap, on-line but in-station.	2
None	No discernible effect.	Or slight inconvenience to operation or operator, or no effect.	1

4.2.13 (13) CLASSIFICATION—This column may be used to classify any special product or process characteristics (e.g., critical, key, major, significant) for components, subsystems, or systems that may require additional process controls. (See Figure 4.)

This column may also be used to highlight high priority failure modes for engineering assessment.

If a classification is identified in the Process FMEA, notify the design responsible engineer since this may affect the engineering documents concerning control item identification.

Special Product or Process Characteristic symbols and their usage is directed by specific company policy and is not standardized in this document.

- 4.2.14 (14) **POTENTIAL CAUSE(S)/MECHANISM(S) OF FAILURE**—Potential Cause of Failure is defined as how the failure could occur, described in terms of something that can be corrected or can be controlled. (See Figure 4.)

List, to the extent possible, every failure cause assignable to each potential failure mode. If a cause is exclusive to the failure mode, i.e., if correcting the cause has a direct impact on the failure mode, then this portion of the FMEA thought process is completed. Many causes, however, are not mutually exclusive, and to correct or control the cause, a design of experiments, for example, may be considered to determine which root causes are the major contributors and which can be most easily controlled. The causes should be described so that remedial efforts can be aimed at those causes which are pertinent. Typical failure causes may include, but are not limited to:

Improper torque - over, under	Improper weld - current, time, pressure
Inaccurate gauging	Improper heat treat - time, temperature
Inadequate gating/venting	Inadequate or no lubrication
Part missing or mislocated	Worn locator
Worn tool	Chip on locator
Broken tool	Improper machine setup
Improper programming	

Only specific errors or malfunctions (e.g., operator fails to install seal) should be listed; ambiguous phrases (e.g., operator error, machine malfunction) should not be used.

- 4.2.15 (15) **OCCURRENCE (O)**—Occurrence is the likelihood that a specific cause/mechanism (listed in the previous column) will occur. The likelihood of occurrence ranking number has a relative meaning rather than an absolute value. Preventing or controlling the causes/mechanisms of the failure mode through a design or process change is the only way a reduction in the occurrence ranking can be effected. (See Figure 4.)

Estimate the likelihood of occurrence of potential failure cause/mechanism on a “1” to “10” scale.

A consistent occurrence ranking system should be used to ensure continuity. The occurrence ranking number is a relative rating within the scope of the FMEA and may not reflect the actual likelihood of occurrence.

The “Possible Failure Rates” are based on the number of failures which are anticipated during the process execution. If available from a similar process, statistical data should be used to determine the occurrence ranking. In all other cases, a subjective assessment can be made by utilizing the word descriptions in the left column of the table, along with any historical data available for similar processes.

- 4.2.15.1 **Suggested Evaluation Criteria**—The team should agree on an evaluation criteria and ranking system, which is consistent, even if modified for individual process analysis. (See Table 5.)

Occurrence should be estimated using the following table as a guideline:

NOTE—The ranking value of 1 is reserved for “Remote: Failure Is unlikely.”

TABLE 5—SUGGESTED PFMEA OCCURRENCE EVALUATION CRITERIA

Probability	Likely Failure Rates	Ranking
Very High: Persistent failures	≥100 per thousand pieces	10
	50 per thousand pieces	9
High: Frequent failures	20 per thousand pieces	8
	10 per thousand pieces	7
Moderate: Occasional failures	5 per thousand pieces	6
	2 per thousand pieces	5
	1 per thousand pieces	4
Low: Relatively few failures	0.5 per thousand pieces	3
	0.1 per thousand pieces	2
Remote: Failure is unlikely	≤ 0.01 per thousand pieces	1

- 4.2.16 (16) **CURRENT PROCESS CONTROLS**—Current Process Controls are descriptions of the controls that either prevent to the extent possible the failure mode/cause from occurring or detect the failure mode or cause should it occur. These controls can be process controls such as error/mistake proofing or Statistical Process Control (SPC), or can be post-process evaluation. The evaluation may occur at the subject operation or at subsequent operations. (See Figure 4.)

There are two types of Process Controls/features to consider:

- Type 1. Prevention: Prevent the cause/mechanism or failure mode/effect from occurring, or reduce their rate of occurrence
- Type 2. Detection: Detect the cause/mechanism or failure mode, and lead to corrective action(s)

The preferred approach is to first use type (1) controls if possible. The initial occurrence rankings will be affected by the type (1) controls provided they are integrated as part of the process intent. The initial detection rankings will be based on the type (2) current process controls, planned for production operation.

When entering the process controls, place a 'P' before the Prevention Type Controls and place a 'D' before the Detection Type Controls. The Occurrence Ranking may need to be revised after the identification of Type (1) Prevention Controls.

- 4.2.17 (17) **DETECTION (D)**—Detection is the rank associated with the best type (2) process control listed in the previous column. Detection is a relative ranking, within the scope of the individual FMEA. In order to achieve a lower ranking, generally the planned process control has to be improved. (See Figure 4.)

Assume the failure has occurred and then assess the capabilities of all "Current Process Controls" to prevent shipment of the part having this failure mode or defect. Do not automatically presume that the detection ranking is low because the occurrence is low (e.g., when Control Charts are used), but do assess the ability of the process controls to detect low frequency failure modes or prevent them from going further in the process.

Random quality checks are unlikely to detect the existence of an isolated defect and should not influence the detection ranking. Sampling done on a statistical basis is a valid detection control.

4.2.17.1 *Suggested Evaluation Criteria*—The team should agree on an evaluation criteria and ranking system, which is consistent, even if modified for individual product analysis. (See Table 6.)

Detection should be estimated using Table 6 as a guideline:

NOTE—The ranking value of 1 is reserved for “Certain to Detect.”

TABLE 6—SUGGESTED PFMEA DETECTION EVALUATION CRITERIA

Detection	Criteria	Inspection Type A ⁽¹⁾	Inspection Type B ⁽¹⁾	Inspection Type C ⁽¹⁾	Suggested Range of Detection Methods	Ranking
Almost Impossible	Absolute certainty of non-detection			X	Cannot detect or is not checked.	10
Very Remote	Controls will probably not detect			X	Control is achieved with indirect or random checks only.	9
Remote	Controls have poor chance of detection			X	Control is achieved with visual inspection only.	8
Very Low	Controls have poor chance of detection			X	Control is achieved with double visual inspection only.	7
Low	Controls may detect.		X	X	Control is achieved with charting methods, such as SPC (Statistical Process Control)	6
Moderate	Controls may detect		X		Control is based on variable gauging after parts have left the station, OR Go/No Go gauging performed on 100% of the parts after parts have left the station.	5
Moderately High	Controls have a good chance to detect	X	X		Error detection in subsequent operations, OR gauging performed on setup and first-piece check (for set-up causes only).	4
High	Controls have a good chance to detect	X	X		Error detection in-station, OR error detection in subsequent operations by multiple layers of acceptance: supply, select, install, verify. Can not accept discrepant part.	3
Very High	Controls almost certain to detect.	X	X		Error detection in-station (automatic gauging with automatic stop feature). Can not pass discrepant part.	2
Very High	Controls certain to detect.	X			Discrepant parts can not be made because item has been error proofed by process/ product design.	1

1. Inspection Types:

- A. Error Proofed
- B. Gauging
- C. Manual Inspection

NOTE—X's indicate the inspection type(s) used for a given rank.

4.2.18 (18) RISK PRIORITY NUMBER (RPN)—The Risk Priority Number is the product of the Severity (S), Occurrence (O), and Detection (D) ranking. (See Figure 4.)

$$RPN = (S) \times (O) \times (D) \quad (\text{Eq. 2})$$

Within the scope of the individual FMEA, this value (between “1” and “1000”) can be used to rank order the concerns in the process (e.g., in Pareto fashion).

- 4.2.19 (19) RECOMMENDED ACTION(S)—Engineering assessment for corrective action should be first directed at high severity, high RPN, and other items designated by the team. The intent of any recommended action is to reduce rankings, in the following preference order: severity, occurrence, and detection rankings. (See Figure 4).

In general practice when the severity is “9” or “10”, special attention must be given to assure that the risk is addressed through existing design actions/controls or process preventive/corrective action(s), regardless of the RPN. In all cases where the effect of an identified potential failure mode could be a hazard to manufacturing/assembly personnel, preventive/corrective actions should be taken to avoid the failure mode by eliminating or controlling the cause(s), or appropriate operator protection should be specified.

After special attention has been given to Severity(s) of 9 or 10, the team then addresses other Failure Modes, with the intent of reducing Severity, then Occurrence, and then Detection.

Actions such as, but not limited to, the following should be considered:

- a. To reduce the probability of occurrence, process and/or design revisions are required. An action-oriented study of the process using statistical methods could be implemented with an ongoing feedback of information to the appropriate operations for continuous improvement and defect prevention.
- b. Only a design and/or process revision can bring about a reduction in the severity ranking.
- c. To increase the probability of detection, process and/or design revisions are required. Generally, improving detection controls is costly and ineffective for quality improvements. Increasing quality controls inspection frequency is not an effective preventive/corrective action and should only be utilized as a temporary measure, since permanent preventive/corrective action is required. In some cases, a design change to a specific part may be required to assist in the detection. Changes to the current control system may be implemented to increase this probability. Emphasis must, however, be placed on preventing defects (i.e., reducing the occurrence) rather than detecting them. An example would be the use of Statistical Process Control and process improvement rather than random quality checks or associated inspection.

If engineering assessment leads to no recommended actions for a specific failure mode/cause/control combination, indicate this by entering a “NONE” in this column.

- 4.2.20 (20) RESPONSIBILITY (FOR THE RECOMMENDED ACTION)—Enter the individual responsible for the recommended action, and the target completion date. (See Figure 4.)
- 4.2.21 (21) ACTIONS TAKEN—After an action has been implemented, enter a brief description of the action and effective date. (See Figure 4.)
- 4.2.22 (22) REVISED RATINGS—After the preventive/corrective action has been identified, estimate and record the resulting severity, occurrence, and detection rankings. Calculate and record the resulting RPN. If no actions are taken, leave the related ranking columns blank. (See Figure 4.)

All revised ratings should be reviewed and if further action is considered necessary, repeat 4.2.19 through 4.2.22.

SAENG

System _____
Subsystem _____
Machinery/System _____
Model Year(s)/Program(s) _____

Core Team _____

Failure Mode and Effects Analysis (FMEA) Form

FMEA Number _____
Page _____ of _____
Prepared By _____
FMEA Date (Orig.) _____ (Rev.) _____

Design Responsibility _____
Key Date _____

[illegible]

FIGURE 5—MACHINERY FMEA FORM

5. Potential Failure Mode and Effects Analysis for Machinery (Machinery FMEA), An Application of Design and Process FMEA Concepts to Plant Machinery and Equipment.

5.1 Introduction—A Machinery FMEA (MFMEA) for tooling and equipment is an analytical technique utilized primarily by a machinery responsible engineering team. The purpose of the FMEA is to assure that potential failure modes and their associated causes/mechanisms have been addressed. In its most rigorous form, an FMEA is a summary of the team's thoughts (including analysis of items that could go wrong based on experience and past concerns) as the machinery is designed. The systematic approach parallels, formalizes, and documents the mental disciplines that an engineer/team normally goes through in any design/development process.

The Machinery Potential FMEA supports the design process in reducing risk of failures by:

- a. Aiding in the objective evaluation of equipment functions, design requirements, and design alternatives.
- b. Increasing the probability that potential failure modes and their effects on the machinery have been considered in the design and development process.
- c. Providing additional information to aid in the planning of thorough and efficient design, validation, and development programs.
- d. Developing a ranked list of potential failure modes ranked according to their effect on the "customer", thus establishing a priority system for design improvements, development, and validation testing/analysis.
- e. Providing future reference, e.g., lessons learned to aid in analyzing field concerns, evaluating design changes, and developing advanced machinery designs.

When fully implemented, the MFMEA discipline can be performed on new, modified, or carry-over designs in new applications or environments. An engineer from the responsible design source should initiate the MFMEA process, which for a proprietary design, may be the supplier.

5.1.1 CUSTOMER DEFINED—The definition of "Customer" for a MFMEA is the "Plant" where the machinery is to be installed for production. The word "Plant" refers to plant engineers, maintenance, production, and other plant support personnel.

5.1.2 TEAM EFFORT—During the MFMEA process, the machinery responsible engineer is expected to actively involve representatives from all affected areas. These areas should include, but are not limited to production, manufacturing engineering, safety, quality, suppliers, and product engineering. The MFMEA should be a catalyst to stimulate the interchange of ideas between activities affected and thus promote a team approach. In addition, for any commercial "Catalog" components, the responsible representative from the component supplier should be consulted as required.

Unless the responsible engineer is experienced with FMEA and team facilitation, it is helpful to have an experienced FMEA Facilitator assist the team in its activities.

The Machinery FMEA is a living document and should:

- a. Be initiated during design concept development,
- b. Be continually updated as changes occur or additional information is obtained throughout the phases of machinery development,
- c. And should be completed before engineering release for construction.

The MFMEA analyzes functions, design requirements, needs, and assumes the machinery will be built to specification. The focus of the MFMEA is to eliminate potential failures by removing design weaknesses before the machinery is built as opposed to relying on machinery controls and/or preventive maintenance to reduce the occurrence of the failures.

5.2 Development of a Machinery FMEA—The machinery design responsible engineer has at his/her disposal a number of formal and informal documents that will be useful in the preparation of the Machinery FMEA. The process of preparing the MFMEA begins with the full understanding of what the machinery is expected to do or not to do, in a given environment, under stated conditions, and for a defined period of time. These expectations may be determined from sources such as the Reliability and Maintainability specification statement, design requirements, performance reports, maintenance history, program objectives, and federal or local regulatory requirements.

A Machinery FMEA should begin by prioritizing the subsystem improvements necessary to meet the overall system expectations.

A Machinery FMEA can begin with a block diagram for the system or subsystem being analyzed. An example of the block diagram is shown in Appendix C.

In order to facilitate documentation of the Machinery FMEA, a form is available in Appendix I.

An example of a completed form is contained in Appendix K.

An example of a welding station with five subsystems is shown in series, as illustrated in Figure 6. All of them have to function correctly for the welding station to operate at the required rate. In order to increase system reliability, improvements should focus on subsystems with lower reliability's or higher failure rates. In the example shown, improvements should first focus on the robot end effector.

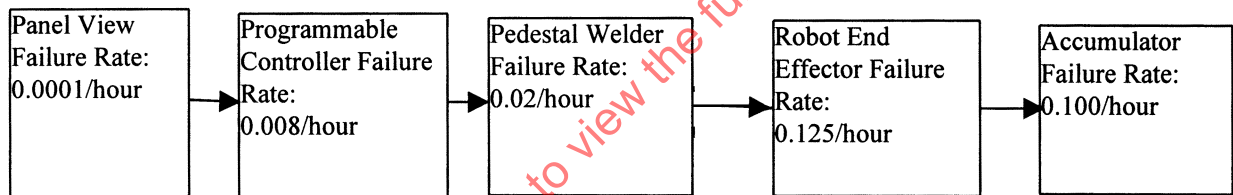


FIGURE 6—WELDING STATION SERIES SYSTEM

5.2.1 FMEA NUMBER—Enter the FMEA document number, which may be used for tracking. (See Figure 5.)

5.2.2 MACHINERY/SYSTEM NAME—The name of the machinery/system being analyzed along with the appropriate level of analysis. (See Figure 5.)

5.2.2.1 Subsystem—if subsystem was checked off, enter the name and description of the subsystem being analyzed. The identification of a subsystem will determine the boundaries for analysis. (See Figure 5.)

A subsystem is an organized composition of components, parts, details, fixtures, or tooling which collectively support the performance of the design intent or engineering requirement (i.e., hydraulic, electrical, controls, automatic loader, conveyor, station etc.).

5.2.3 DESIGN RESPONSIBILITY—The OEM, department, group, and the supplier name as applicable. (See Figure 5.)

5.2.4 PREPARED BY—Enter the name, telephone number, and the company of the engineer responsible for preparing the MFMEA document. (See Figure 5.)

5.2.5 MODEL YEAR(S)/PLANT(S)—Enter the intended model year(s) and plants that will utilize and/or be affected by the machinery being analyzed. (See Figure 5.)

- 5.2.6 KEY DATE—Enter the initial MFMEA due date, which should not exceed the scheduled engineering release date for construction. (See Figure 5.)
- 5.2.7 FMEA DATE—Enter the date the original MFMEA was compiled, and the latest revision date. (See Figure 5.)
- 5.2.8 CORE TEAM—List the names of the lead individuals and departments, which are responsible for the decisions and actions taken through the MFMEA. (It is recommended that all team members' names, departments, telephone numbers, addresses, etc., be included on the distribution list.) (See Figure 5.)
- 5.2.9 SUBSYSTEM/FUNCTION REQUIREMENTS—Enter the name of the subsystem being analyzed, including additional information such as design level, system class, etc., as appropriate for the team. Include information (metrics/measurables) regarding the environment in which the subsystem operates.

Enter as concisely as possible, the function of the subsystem. If the subsystem has more than one function with different potential modes of failure, list all the functions separately.

Performance Requirement Examples:

To pump sealant to panel at the rate of X cubic centimeters per minute
 To pump coolant to work piece at not less than X gallons per minute
 To achieve a torque of X N-m in Y seconds
 To ramp up to X degree Celsius in Y seconds and maintain temperature for Z seconds

- 5.2.10 POTENTIAL FAILURE MODE—Potential Failure Mode is defined as the manner in which the machinery could potentially fail to meet or deliver its intended function described in the subsystem/function requirements column (i.e., intended function fails). For every potential failure an action is required is required to bring the machinery back to its production capability. (See Figure 5.)

List each potential failure mode associated with the particular item and item function. The assumption is made that the failure could occur, but may not necessarily occur. A recommended starting point is a review of machinery supplier correction action reports, lessons learned documents, machinery down time logs and group brainstorming.

Potential failure modes that could only occur under certain operating conditions (i.e., temperature extremes, high humidity, external shock/vibration) and under certain usage conditions (increased line rate) should be considered.

EXAMPLES:

Failure to operate at prescribed time	Failure to stop operating at a prescribed time
Intermittent operation	Degraded output
Inadequate torque	Loss of output during operation
Partial or complete loss of function	

- 5.2.11 POTENTIAL EFFECTS OF FAILURE—The effects should be stated in terms of a specific system, subsystem being analyzed, and the impact of the failure mode on upstream and downstream processes.

State clearly if the function could impact safety or noncompliance to regulations. The effects should always be stated in terms of the specific system, subsystem, or component being analyzed. List all effects and rank the most severe effect. Typical failure effects could be, but are not limited to:

Machinery breakdowns	Increased cycle time
Setup and adjustment	Impaired performance
Non-conforming parts	

5.2.12 SEVERITY—Severity is the rank associated with the most serious effect from the previous column. Severity is a relative ranking, within the scope of the individual FMEA.

A reduction in Severity Ranking index can be effected only through a machinery design change. Severity should be estimated using Table 7:

5.2.12.1 *Suggested Evaluation Criteria*—The team should agree on an evaluation criteria and ranking system, which is consistent, even if modified for an individual system. (See Table 7.)

NOTE—It is not recommended to modify criteria for ranking values of 9's and 10's. Failure Modes with rank Severity 1, should not be analyzed further.

TABLE 7—SUGGESTED SEVERITY EVALUATION CRITERIA

Effect	Severity of Effect	Ranking
Hazardous – Without Warning	Very high severity ranking – Affects operator, plant or maintenance personnel, safety, and/or affects non-compliance with government regulations, without warning.	10
Hazardous – With Warning	High severity ranking – Affects operator, plant or maintenance personnel, safety, and/or affects non-compliance with government regulations with warning.	9
Very High	Downtime of more than 8 h or the production of defective parts for more than 4 h.	8
High	Downtime of between 4 and 8 h or the production of defective parts for between 2 and 4 h.	7
Moderate	Downtime of between 1 and 4 h or the production of defective parts for between 1 and 2 h.	6
Low	Downtime of between 30 min and 1 h or the production of defective parts for up to 1 h.	5
Very Low	Downtime of between 10 and 30 min but no production of defective parts.	4
Minor	Downtime of up to 10 min but no production of defective parts	3
Very Minor	Process parameter variability not within specification limits. Adjustment or other process controls need to be taken during production. No downtime and no production of defective parts.	2
None	Process parameter variability within specification limits. Adjustment or other process controls can be done during normal maintenance	1

5.2.13 CLASSIFICATION—Optional column, may be used to highlight failures with a high severity ranking or other company mandated usage.

5.2.14 POTENTIAL CAUSES/MECHANISM'S OF FAILURE—Potential Cause of Failure is defined as the machinery design weakness or machinery process variation that results in a failure. (See Figure 5.)

List, to the extent possible, every potential cause and/or failure mechanism for each failure mode. The cause/mechanism should be listed as concisely and completely as possible so that remedial efforts can be aimed at pertinent causes.

Typical failure causes may include, but are not limited to:

Mis-located parts
Contamination
Inadequate or no lubrication

Component misapplication
Material fatigue

Over stressing
Corrosion

Typical failure mechanisms may include, but are not limited to:

Yield
Creep
Wear

Corrosion
Abrasion

Fatigue
Material instability

5.2.15 (15) OCCURRENCE (O)—Occurrence is the likelihood that a failure mode will occur within a specific time period. Preventing or controlling (via design change or preventive maintenance) are the only ways to reduce the occurrence rating.

A consistent occurrence ranking system should be used to ensure continuity. The occurrence ranking number is a relative rating within the scope of the FMEA and may not reflect the actual likelihood of occurrence.

5.2.15.1 *Suggested Evaluation Criteria*—The team should agree on an evaluation criteria and ranking system, which is consistent, even if modified for an individual system. (See Table 8). The following suggested criteria allows for the use of standard operating time or reliability as a means for determining the occurrence rankings.

- a. Reliability is the probability that manufacturing machinery/equipment can perform continuously, without failure, for a specified interval of User's time when operating under stated conditions.
- b. User's time is the span of time the machinery is required to run without failure (time, cycles, etc.). The User's time frame should be defined in terms of an operating pattern that is important to the user.

NOTE—The ranking value of 1 is reserved for "Failure occurs every 5 years".

TABLE 8—SUGGESTED OCCURRENCE EVALUATION CRITERIA

Probability of Failure	Criteria: Possible Number of Failures within Hours of Operation	or	Criteria: The Reliability based on the Users Required Time	Ranking
Failure Occurs every Hour	1 in 1		$R(t) < 1\%$: MTBF is about 10% of the User's required time.	10
Failure occurs every shift	1 in 8		$R(t) = 5\%$: MTBF is about 30% of User's required time	9
Failure occurs every day	1 in 24		$R(t) = 20\%$: MTBF is about 60% of the User's required time.	8
Failure occurs every week	1 in 80		$R(t) = 37\%$: MTBF is equal to the User's required time.	7
Failure occurs every month	1 in 350		$R(t) = 60\%$: MTBF is 2 times greater than the User's required time.	6
Failure occurs every 3 months	1 in 1000		$R(t) = 78\%$: MTBF is 4 times greater than the User's required time.	5
Failure occurs every 6 months	1 in 2500		$R(t) = 85\%$: MTBF is 6 times greater than the User's required time	4
Failure occurs every year	1 in 5000		$R(t) = 90\%$: MTBF is 10 times greater than the User's required time.	3
Failure occurs every 2 years	1 in 10,000		$R(t) = 95\%$: MTBF is 20 times greater than the User's required time.	2
Failure occurs every 5 years	1 in 25,000		$R(t) = 98\%$: MTBF is 50 times greater than the User's required time.	1

NOTE—The Occurrence table rankings utilizing reliability calculations, as shown in this Section, assumes the machines have a constant failure rate and are repairable. See Appendix J for sample calculations for the Occurrence table.

5.2.16 **CURRENT DESIGN/MACHINERY CONTROLS**—Current Design/Machinery controls are methods, techniques, devices or tests, classified into two types of controls, used to:

- a. Type 1. Prevention: Prevent the cause/mechanism or failure mode/effect from occurring, or reduce their rate of occurrence,
- b. Type 2. Detection:
 1. Detection in Design: Detect the cause/mechanism or failure mode, either by analytical or physical methods, before the item is released to production.
 2. Detection in Manufacturing/Assembly Plant: Detect the occurrence of the failure mode and isolate the root cause.

TABLE 9—EXAMPLES OF DESIGN CONTROLS AND MACHINERY CONTROLS

Examples of Design Controls	Examples of Machinery Controls
Component de-rating	Preventative maintenance
Safety margins	Machinery diagnostics
Design guidelines	Predictive technologies
Standardization	Visual management techniques
Robot reach analysis	Fault sensors
Finite element analysis	
Simulations studies	

When entering the design/machinery controls, place a 'P' before the Prevention Type Controls and place a 'D' before the Detection Type Controls. The Occurrence Ranking may need to be revised after the identification of Type (1) Prevention Controls.

5.2.17 **DETECTION**—The assessment of the ability of the current controls to detect the potential cause or failure mode. Design related controls that detect and/or prevent the potential failure mode before machinery acceptance by the user will have a low detection ranking number. Machinery related controls that detect failure modes and causes and prevent them from occurring during production would have moderate ranking number. Controls that detect failure modes and causes after they occur will have high ranking numbers.

5.2.17.1 *Suggested Evaluation Criteria*—The team should agree on an evaluation criteria and ranking system, which is consistent, even if modified for an individual system.

NOTE—The ranking value of 1 is reserved for “Very High.”

TABLE 10—SUGGESTED DETECTION EVALUATION CRITERIA

Detection	Criteria	Ranking
Very Low	Design or Machinery Controls cannot detect a potential cause and subsequent failure, or there are no design or machinery controls.	10
Low	Design or Machinery controls do not prevent the failure from occurring. Machinery controls will isolate the cause and subsequent failure mode after the failure has occurred.	7
Medium	Design controls may detect a potential cause and subsequent failure mode. Machinery controls will provide an indicator of imminent failure.	5
High	Design controls may detect a potential cause and subsequent failure mode. Machinery controls will prevent an imminent failure and isolate the cause.	3
Very High	Design controls almost certainly detect a potential cause and subsequent failure mode, machinery controls not required.	1

Teams are free to use rankings of 2,4,6,8, and 9 as needed in addition to those listed previously.

- 5.2.18 **RISK PRIORITY NUMBER**—The Risk Priority Number is the product of the Severity (S), Occurrence (O), and Detection (D) ranking. (See Figure 5).

$$RPN = (S) \times (O) \times (D) \quad (\text{Eq. 3})$$

Within the scope of the individual FMEA, this value (between “1” and “1000”) can be used to rank order the concerns in the design (e.g., in Pareto fashion).

- 5.2.19 (19) **RECOMMENDED ACTION(S)**—Engineering assessment for corrective action should be first directed at high severity, high RPN, and other items designated by the team. The intent of any recommended action is to reduce rankings, in the following preference order: severity, occurrence, and detection rankings. (See Figure 5.)

In general practice when the severity is a “9” or “10”), special attention must be given to assure that the risk is addressed through existing design controls or preventative or corrective action(s), regardless of the RPN. In all cases where the effect of an identified potential failure mode could be a hazard to manufacturing/assembly personnel, preventive/corrective actions should be taken to avoid the failure mode by eliminating or controlling the cause(s), or appropriate operator protection should be specified.

After special attention has been given to Severity(s) of 9 or 10, the team then addresses other Failure Modes, with the intent of reducing Severity, then Occurrence, and then Detection. The primary objective of recommended actions is to reduce risks and increase customer satisfaction by improving the design.

Only a design revision can bring about a reduction in the severity ranking. A reduction in the occurrence ranking can be effected only by removing or controlling one or more of the causes/mechanisms of the failure mode through a design revision. An increase in design validation/verification actions will result in a reduction in the detection ranking only. Increasing the design validation/verification actions is a less desirable engineering action since it does not address the severity or occurrence of the failure mode.

If engineering assessment leads to no recommended actions for a specific failure mode/cause/control combination, indicate this by entering a “NONE” in this column.

- 5.2.20 **RESPONSIBILITY (FOR THE RECOMMENDED ACTION)**—Enter the organization and/or individual responsible for the recommended action and its target completion date. (See Figure 5.)
- 5.2.21 **ACTIONS TAKEN**—After an action has been implemented, enter a brief description of the actual action taken and its effective date. (See Figure 5.)
- 5.2.22 **REVISED RATINGS**—After the preventive/corrective action has been identified, estimate and record the resulting severity, occurrence, and detection rankings. Calculate and record the resulting RPN. If no actions are taken, leave the related ranking columns blank. (See Figure 5.)

All revised ratings should be reviewed and if further action is considered necessary, repeat 5.2.19 through 5.2.22.

- 5.2.23 **FOLLOW-UP**—The design engineer is responsible for assuring that all actions recommended have been implemented or adequately addressed. The MFMEA is a living document and should always reflect the latest machinery design level, as well as the latest relevant actions, including those occurring after the start of production.

In order to facilitate documentation of the analysis of potential failures and their consequences, a sample form is provided in Figure 1.

6. Notes

- 6.1 Marginal Indicia**—The change bar (I) located in the left margin is for the convenience of the user in locating areas where technical revisions have been made to the previous issue of the report. An (R) symbol to the left of the document title indicates a complete revision of the report.

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APPENDIX A

DESIGN FMEA QUALITY OBJECTIVES

NOTE: SPECIFIC PROGRAM REQUIREMENTS TAKE PRECEDENCE

1. DESIGN IMPROVEMENTS
The FMEA drives Design Improvements as the primary objective.
2. HIGH RISK FAILURE MODES
The FMEA address all high risk Failure Modes, as identified by the FMEA team, with executable Action Plans. All other failure modes are considered.
3. A/D/V OR DVP&R PLANS
The Analysis/Development/Validation (A/D/V), and/or Design Verification Plan and Report (DVD&R) considers the failure modes from the Design FMEA.
4. INTERFACES
The FMEA scope includes integration and interface failure modes in both block diagram and analysis.
5. LESSONS LEARNED
The FMEA considers all major "lessons learned" (such as high warranty, campaigns, etc.) as input to failure mode identification.
6. SPECIAL OR KEY CHARACTERISTICS
The FMEA identifies appropriate Key Characteristics candidates, as input to the Key Characteristics selection process, if applicable due to company policy.
7. TIMING
The FMEA is completed during the "Window of opportunity" where it could most efficiently impact the product design.
8. TEAM
The right people participate as part of the FMEA team throughout the analysis, and are adequately trained in the procedure. As appropriate, a facilitator should be utilized.
9. DOCUMENTATION
The FMEA document is completely filled out "by the book", including "Action Taken" and new RPN values.
10. TIME USAGE
Time spent by the FMEA team, as early as possible, is an effective and efficient use of time, with a value-added result. This assumes Recommended Actions are identified as required and the actions are implemented.

APPENDIX B

PROCESS FMEA QUALITY OBJECTIVES

NOTE: SPECIFIC PROGRAM REQUIREMENTS TAKE PRECEDENCE

1. **PROCESS IMPROVEMENTS**
The FMEA drives Process Improvements as the primary objective, with an emphasis on Error/Mistake Proofing solutions.
2. **HIGH RISK FAILURE MODES**
The FMEA addresses all high risk Failure Modes, as identified by the FMEA team, with executable Action Plans. All other failure modes are considered.
3. **PROCESS CONTROL PLAN**
The Process Control Plan considers the failure modes from the Process FMEA.
4. **INTEGRATION**
The Process FMEA is integrated and consistent with the Process Flow Diagram and the Process Control Plan. The Process FMEA considers the Design FMEA as part of its analysis.
5. **LESSONS LEARNED**
The FMEA considers all major "lessons learned" (such as high warranty, campaigns, etc.) as input to failure mode identification.
6. **SPECIAL OR KEY CHARACTERISTICS**
The FMEA identifies appropriate Key Characteristics candidates, as input to the Key Characteristics selection process.
7. **TIMING**
The FMEA is completed during the "Window of opportunity" where it could most efficiently impact the design of product or process.
8. **TEAM**
The right people participate as part of the FMEA team throughout the analysis, and are adequately trained in the procedure. As appropriate, a facilitator should be utilized
9. **DOCUMENTATION**
The FMEA document is completely filled out "by the book", including "Action Taken" and new RPN values.
10. **TIME USAGE**
Time spent by the FMEA team, as early as possible, is an effective & efficient use of time, with a value-added result. This assumes Recommended Actions are identified as required and the actions are implemented.

APPENDIX C

DESIGN FMEA BLOCK DIAGRAM EXAMPLE

FAILURE MODE AND EFFECTS ANALYSIS (FMEA)
BLOCK DIAGRAM/ENVIRONMENTAL EXTREMES

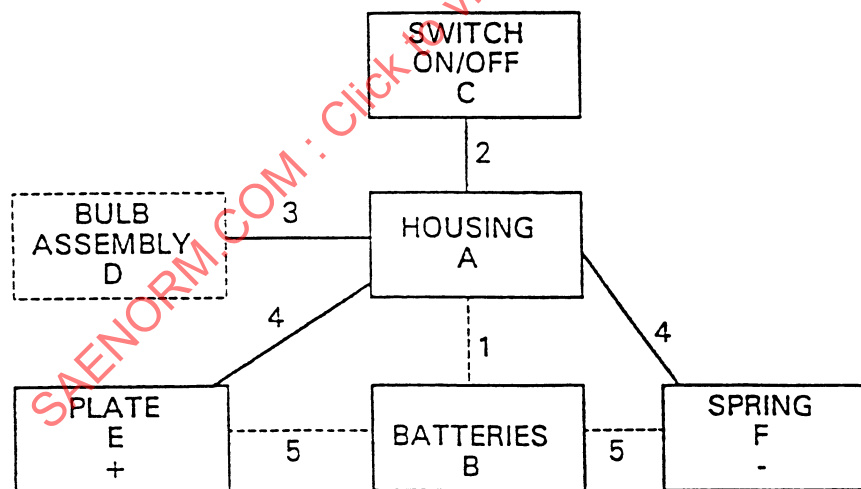
SYSTEM NAME: FLASHLIGHT
 YEAR VEHICLE PLATFORM: 1994 NEW PRODUCT
 FMEA I.D. NUMBER: XXXI10D001

OPERATIONAL ENVIRONMENTAL EXTREMES

TEMPERATURE: -20 to 160 °F CORROSIVE: TEST SCHEDULE B VIBRATION: NOT APPLICABLE
 SHOCK: 6 FOOT DROP FOREIGN MATERIAL: DUST HUMIDITY: 0 - 100% RH
 FLAMMABILITY: (WHAT COMPONENT(S) ARE NEAR HEAT SOURCE(S)?
 OTHER:

LETTERS = COMPONENTS _____ = ATTACHED/JOINED ----- = INTERFACING, NOT JOINED = NOT INCLUDED IN THIS FMEA
 NUMBERS = ATTACHING METHODS

The example below is a relational block diagram. Other types of block diagrams may be used by the FMEA Team to clarify the item(s) being considered in their analysis.



COMPONENTS	ATTACHING METHOD
A. HOUSING	1. SLIP FIT
B. BATTERIES (2 D Cell)	2. RIVETS
C. ON/OFF SWITCH	3. THREAD
D. BULB ASSEMBLY	4. SNAP FIT
E. PLATE	5. COMPRESSIVE FIT
F. SPRING	

FIGURE C1—DESIGN FMEA BLOCK DIAGRAM EXAMPLE

APPENDIX E DESIGN FMEA EXAMPLE

_____ System
 _____ Subsystem
 _____ Component **01.03/Body Closures**
 Model Year(s)/Program(s) **199X/Lion 4dr/Wagon**
 Core Team **T. Fender - Car Product Dev., G. Childers - Manufacturing, J. Ford - Assy Ops (Dalton, Fraser, Henley Assembly Plants)**
 FMEA Number **1234**
 Page **1** of **1**
 Prepared by **A. Tate - X 6412 - Body Engr**
 FMEA Date (Orig.) **8X 03 22** (Rev.) **8X 07 14**
 Design Responsibility **Body Engineering**
 Key Date **9X 03 01 ER**
 Failure Mode and Effects Analysis (DESIGN FMEA)
 Design Responsibility **Body Engineering**
 Key Date **9X 03 01 ER**
 Component **01.03/Body Closures**
 Model Year(s)/Program(s) **199X/Lion 4dr/Wagon**
 Core Team **T. Fender - Car Product Dev., G. Childers - Manufacturing, J. Ford - Assy Ops (Dalton, Fraser, Henley Assembly Plants)**

Item Function (9)	Potential Failure Mode (10)	Potential Effect(s) of Failure (11)	S e v e r i t y (12)→	C a u s e s (13)	O c c u r r e n c e (14)	C u r r e n t D e s i g n C o n t r o l s (15)→	D e t e r m i n e d C o n t r o l s (17)→	R. P. N.	←(18) Recommended Action(s) (19)	Responsibility & Target Completion Date (20)	Action Results				(22)
											Actions Taken (21)	S e v e r i t y	O c c u r r e n c e	D e t e r m i n e d	
Front door L.H. HBHX-0000-A ■ Ingress to and egress from vehicle ■ Occupant protection from weather, noise, and side impact ■ Support anchorage for door hardware including mirror, hinges, latch and window regulator ■ Provide proper surface for appearance items - paint and soft trim	Corroded interior lower door panels	Deteriorated life of door leading to: ■ Unsatisfactory appearance due to rust through paint over time ■ Impaired function of interior door hardware	7	Upper edge of protective wax application specified for inner door panels is too low	6	Vehicle general durability test veh. T-118 T-109 T-301	7	294	Add laboratory accelerated corrosion testing	A Tate-Body Engr 8X 09 30	Based on test results (Test No. 1481) upper edge spec raised 125 mm	7	2	2	28
				Insufficient wax thickness specified	4	Vehicle general durability testing - as above	7	196	Add laboratory accelerated corrosion testing Conduct Design of Experiments (DOE) on wax thickness	Combine wheel for wax upper edge verification A Tate-Body Engr 9X 01 15	Test results (Test No. 1481) show specified thickness is adequate DOE shows 25% variation in specified thickness is acceptable	7	2	2	28
				Inappropriate wax formulation specified	2	Physical and Chem Lab test - Report No. 1265	2	28	None						
				Entrapped air prevents wax from entering corner/edge access	5	Design aid investigation with nonfunctioning spray head	6	280	Add team evaluation using production spray equipment and specified wax	Body Engr & Assy Ops 8X 11 15	Based on test, 3 additional vent holes will be provided in affected areas	7	1	3	21
				Wax application plugs door drain holes	3	Laboratory test using "worst case" wax application and hole size	1	21	None						
				Insufficient room between panels for spray head access	4	Drawing evaluation of spray head access	4	112	Add team evaluation using design aid buck and spray head	Body Engr & Assy Ops 8X 09 15	Evaluation showed adequate access	7	1	1	7
SAMPLE															

FIGURE E1—DESIGN FMEA